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BY HAND

Honorable Robert W. Sweet United States District Judge Southern District of New York 500 Pearl Street New York, New York 10007

Schoolcraft v. The City of New York, et al., 10-cv-6005 (RWS)

Dear Judge Sweet:

As one of plaintiff's counsel, I am writing to the Court to request a premotion conference on the plaintiff's motion to strike the Declaration of Catherine Lamstein (Court Dkt. # 410-1) filed on March 6, 2015 by the City Defendants. The motion to strike is based on the fact that the Declaration is inconsistent with Lamstein's deposition testimony and is new evidence being submitted with reply papers on a motion for summary judgment.

I am also writing to object to the City Defendants' argument raised for the first time in their reply papers that the City Defendants are entitled to qualified immunity in connection with the plaintiff's First Amendment claims. The reason for the objection is that the issue was not raised in the City Defendants' initial motion papers and was first raised only in their reply papers.

1. The Lamstein Reply Declaration

On December 22, 2014, the City Defendants filed their motion for summary judgment seeking, among other things, dismissal of Officer Schoolcraft's claim that they violated Officer Schoolcraft's rights when they entered his home on October 31, 2009 without a warrant. The motion was based on the argument that

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an emergency existed at the time of the warrantless entry because Office Schoolcraft left work sick without permission and NYPD Psychologist Catherine Lamstein allegedly told Captain Theodore Lauterborn that the City Defendants "absolutely needed to find" Officer Schoolcraft. During the same sequence of dispositive motion practice, Officer Schoolcraft also filed his motion for summary judgment, which requested a determination as a matter of law that the warrantless entry was illegal because there were no facts genuinely suggesting an emergency.²

In opposing the City Defendants' summary judgment motion on the warrantless entry issue, we argued that Lamstein did not testify at her deposition that she told Lauterborn that he needed to find Officer Schoolcraft that night.³ Instead, the deposition shows that five years after the fact she testified that she "thought that he absolutely did need to find him." The record also shows that Lamstein's detailed notes of her discussions with Lauterborn did not reflect any statement by Lamstein about a need to find Officer Schoolcraft. Since Lamstein's unexpressed state of mind five years after the fact is irrelevant to the question of whether the NYPD defendants had an emergency justification for their entry on the evening of October 31, 2009, the defendants' argument was fatally flawed.

In reply, however, the City Defendants filed the Declaration of Lamstein, which states that "this statement that 'I thought [Capt. Lauterborn] absolutely did need to find [Adrian Schoolcraft] and make sure that he was okay' was not just my opinion but a statement that I conveyed to Capt. Lauterborn on October 31, 2009." Thus, Lamstein seeks with her Declaration to make a significant alteration in her testimony, changing the statement that she *thought* it was a good idea to find Officer Schoolcraft into a statement in the form of a "directive" that she actually told Lauterborn to find him on the evening of October 31, 2009.

The Lamstein Declaration should be stricken from the record and disregarded by the Court for two reasons. First, the Declaration is inconsistent with her deposition testimony on the important issue of what she actually told Lauterborn. Second, the Declaration is new evidence being submitted in reply that

City Mem., dated 12-22-14 (Dkt. # 300) at p. 3.

² Plaintiff's Mem., dated 12-23-14, at p. 34-39.

³ Plaintiff's Opp. Mem., dated 2-11-15, at pp. 2-5.

⁴ Lamstein Tr. 320:25-321:3; attached hereto as Exhibit A.

⁵ Plaintiff's Opp. Mem. at 3-4.

⁶ Lamstein Dec., dated 3-5-15, at p. 2 ¶ 6 (Dkt. #410-1).

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should have been submitted at the time of the City Defendants' motion for summary judgment or in opposition to the plaintiff's motion for summary judgment.

A. The Sham Issue of Fact Doctrine Requires Striking the Declaration.

At no point in the Lamstein deposition did she testify that on October 31, 2009 she made any statement that there was any kind of emergency that required Lauterborn to find Officer Schoolcraft that night. To the contrary, Lamstein testified that she told Lauterborn: "I told him that as of the last time I saw him, which was a few days earlier, I had no reason to think he was a danger to himself or others. Never expressed thoughts or suicide. It didn't seem to be anything that serious that would lead me to be concerned."

While she also volunteered information in her deposition about her alleged state of mind five years after the fact, her testimony (and her notes⁸) clearly state that she told Lauterborn that as of the time she last saw him (i.e., October 27, 2009), Officer Schoolcraft was fine and that she had no reason to believe he was a danger to himself or others. Indeed, Lamstein's testimony was based primarily on four pages of her notes about the events of October 31, 2009. Those notes and a type-written version she prepared after the fact to brief her supervisors are attached hereto as Exhibit B. Lamstein was extensively examined on the contexts of her notes for October 31, 2009, which she also read into the record verbatim. Nothing in those notes or her deposition show or suggest that she gave Lauterborn a "directive" that he had to find Officer Schoolcraft or that there was some sort of psychiatric emergency authorizing extreme measures to find Officer Schoolcraft.

⁷ Lamstein Tr. 319:22-320:2; Exh. A (emphasis added).

⁸ The last entry that Lamstein made about the events of October 31, 2009 was made on October 14, 2010, about a year after the fact. Lamstein Tr. 331:2-339:9. She testified that this "delayed entry" was prompted by accounts of the matter in the media and that she wanted to make the entry in her file to reflect what she recalled about what she told Lauterborn because the existing notes reflected what he told her. *Id.* at 332:13-333:9. In fact, the "delayed entry" was added to her file the day after she was interviewed by IAB. *See* Scott Memorandum, dated 2/15/11 at p. 1; attached hereto as Exhibit D ("subsequent interview involving Dr. Lamstein on October 13, 2010").

⁹ *Id* at 325:8-331:15 & 339:11-341:18.

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Settled law in this Circuit prohibits a party from manufacturing a sham issue of fact to defeat a summary judgment motion. "A party may not create an issue of fact by submitting an affidavit in opposition to a motion for summary judgment that, by omission or addition, contradicts the affiant's previous deposition testimony." If a party who has been examined at length could raise an issue of fact simply by submitting an affidavit contradicting the party's prior deposition testimony, the utility of summary judgment as a procedure would be greatly diminished.

Barefaced contradictions are not the only kinds of shifts in testimony that can be disregarded. Thus, changes in the theory of a case or the flavor of the testimony can be disregarded. In addition, Rule 30(e)(1)(B) of the Federal Rules of Civil Procedure provides an express procedure for a witness making changes or corrections to a deposition transcript 30 days after the transcript is made available to the witness, and a post-deposition affidavit seeking to make further changes to a deposition transcript in response to a summary judgment motion should be disregarded. 13

Here, Lamstein reviewed her January 30, 2014 deposition transcript on April 24, 2014 and made numerous changes and corrections. A copy of her errata sheet is attached as Exhibit C, and it shows over 120 corrections or changes to the transcript. While the relevant portions of her deposition about her actual discussions with Lauterborn remained unchanged in her errata sheet, Lamstein's Declaration now seeks to make a radical alteration in the substance of her testimony – changes made long after the 30-day period, long after the close of discovery, and only after summary judgment motions on the issue have been filed. By a mere slight of hand she seeks to convert an unexpressed thought five years after the fact into an alleged statement by her to Lauterborn to "absolutely find him." Indeed, the dramatic shift in her testimony is made clear by the City Defendants. In their reply memorandum, the City Defendants now explicitly argue that the Lamstein Declaration shows that she gave Lauterborn a "directive" to find Officer Schoolcraft that night. 14

¹⁰ Hayes v. NYC Dept. of Corrections, 84 F. 3d 614, 619 (2d Cir. 1996); accord Brown v. Henderson, 257 F. 3d 246, 252 (2d Cir. 2001).

¹¹ *Hayes*, *supra*, at 619.

¹² Smith v. Target Corp., 2012 U.S. Dist. Lexis 165256 at * 16 (N.D.N.Y. 2012).

¹³ Felix-Torres v. Graham, 687 F. Supp. 2d 38, 50 (N.D.N.Y. 2009).

¹⁴ City Def. Mem., dated 3-6-15, at p. 2 (Dkt. # 411).

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This is not a minor modification to background facts; it goes directly to the City Defendants' legal basis and justification for breaking into Officer Schoolcraft's home. Indeed, in the City Defendants' memorandum of law in opposition to Officer Schoolcraft's motion for summary judgment, the City Defendants argued that our motion ignored "the very critical fact" that Lamstein allegedly told Lauterborn to find Officer Schoolcraft. Thus, the Court should not permit the City Defendants to manufacture an issue on this "very critical fact" precisely because the "fact" simply does not exist and it is a mere sham created only in response to our summary judgment motion.

Nothing in the defendants' papers provides the Court with any justification for accepting or justifying the Lamstein Declaration. In their reply papers, the City Defendants claim that the Lamstein Declaration seeks to "clarify and explain" her deposition testimony. But neither Lamstein in her perfunctory Declaration nor the City Defendants in their reply papers make any effort to explain why anything in her deposition needed "clarification" or "explanation." Nor do they make any effort to explain the reasons for the inconsistency between her Declaration and her deposition. While a party can certainly clarify ambiguous, confusing or incomplete testimony, where a post-deposition affidavit raises obvious inconsistencies, the proponent must provide some plausible explanation for them. Here, the City Defendants failed to offer any explanation and Lamstein merely states in a conclusory fashion that "in fact" her deposition testimony "was not just my [unexpressed] opinion but a statement that I conveyed."

In sum, the Declaration is inconsistent with her deposition testimony of what she actually said she told Lauterborn and with her detailed notes of her discussions with Lauterborn. And the City Defendants offer the Court no explanation whatsoever explaining her shifting versions of the events. Accordingly, the Court should strike the Lamstein Declaration from the record and disregard it.

In the event, however, that the Court does not strike the Declaration, then we

¹⁵ City Def. Opp. Mem., dated 2-11-15 at p. 7 (Dkt. # 375).

¹⁶ City Def. Reply Mem. at p. 2 (Dkt. # 411) ("Lamstein has clarified and explained that her testimony regarding the directive to find plaintiff was not an unexpressed thought, but a statement that she actually made to Captain Lauterborn on October 31, 2009.)

¹⁷ Jeffreys v. City of New York, 426 F. 3d 549, 555 n.2 (2d Cir. 2005)

¹⁸ Lamstein Dec. ¶ 6 (Dkt. #410-1).

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request the opportunity to response more fully to the tardy submission. For example, the Lamstein Declaration cannot save the City Defendants from having our motion for summary judgment granted against the City Defendants for their warrantless entry. Even if the Lamstein Declaration was accepted as evidence of what she allegedly told Lauterborn, there is no evidence in this record that *Lauterborn* was aware of this alleged "directive" at the time or acted upon it, and the fellow officer or collective knowledge doctrine requires some communication and only applies among police officers. Moreover, Chief Marino testified at his deposition that he had no information at the time of the entry that Officer Schoolcraft was dangerous to himself or others. Finally, the City Defendants have not submitted any evidence from Chief Marino, DI Mauriello, Captain Lauterborn or anyone else at the scene that they were acting based on some "directive" from Lamstein to "absolutely find" Officer Schoolcraft.

B. The Declaration is New Evidence Improperly Submitted in Reply.

The Lamstein Declaration should also be stuck on the ground that it is new evidence that the City Defendants did not submit in their initial motion for summary judgment and have filed only as part of their reply papers. Indeed, the City Defendants did not even submit the Lamstein Declaration as part of their opposition to Officer Schoolcraft's motion for summary judgment on the same issue regarding the existence of objective facts of an emergency justification for the warrantless entry.

A party cannot attempt to cure deficiencies in its moving papers by including new evidence in reply papers because that practice improperly deprives a party of the opportunity to response to the new evidence.²⁰ Judge Baer has aptly summarized the law on this issue:

¹⁹ Colon v. City of New York, 2014 U.S. Dist. Lexis 46451 at *14 (S.D.N.Y. April 2, 2014) (some communication required); United States v. Colon, 250 F.3d 130, (2d Cir. 2001) (collective knowledge doctrine applies only to police officers or others with specialized police training; extending doctrine to civilian 911 operator would go beyond the doctrine's jurisprudential parameters).

²⁰ See, e.g. United States ex rel. Karlin v Noble Jewelry Holdimngs, Ltd., 2012 U.S. Dist. Lexis 51675 at *13-14 (S.D.N.Y. April 9, 2012).

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"[I]t is established beyond peradventure that it is improper to sandbag one's opponent by raising new matter in reply." *Murphy v. Village of Hoffman Estates, 1999 U.S. Dist. LEXIS 3320, at *5-6 (N.D. Ill. 1999)* ("[p]roviding specifics in a reply in support of a general argument in an objection counts as new matter in reply"); *see also, e.g., Wike v. Vertrue, Inc., 2007 U.S. Dist. LEXIS 19843, at *21-22 (M.D. Tenn. 2007)* ("the Court will not allow [movant] to sandbag the Plaintiff by first presenting the evidence in reply"); *Brennan v. AT&T Corp., 2006 U.S. Dist. LEXIS 8237, at *26-27 (S.D. Ill. 2006)*. Typically, in such situations, the Court strikes the evidence presented for the first time in reply, and does not consider it for purposes of ruling on the motion. *See, e.g., Wike v. Vertrue, Inc., 2007 U.S. Dist. LEXIS 19843, at *21-22; Brennan v. AT&T Corp., 2006 U.S. Dist. LEXIS 8237, at *26-27*. This Court will adopt such a remedy here, and strike Plaintiff's evidence presented with its reply brief, and not consider it for the purposes of ruling on this motion.²¹

Since the Lamstein Declaration could have been submitted in support of the City Defendants' motion or even in opposition to our motion, it should be disregarded. And to the extent that the Court does decide to consider it, as noted above, we request an opportunity to submit further papers in response to it.

2. The Qualified Immunity Argument

The City Defendants also raise a new issue about qualified immunity for the first time in their reply papers. Claiming that the recent decision by the Second Circuit in *Matthews v. City of New York*,²² represents a shift in the law, the City Defendants argue that the decision now also forms the basis for a qualified immunity defense because the NYPD defendants could not have anticipated the decision in 2009.²³

Since this qualified immunity issue was not raised in the City Defendants' motion for summary judgment, the Court should not consider it. While the authorities cited above are controlling on the new argument issue, the decision in

²¹ Wolters Kluwer Fin Ser. Inc. v. Scivantage, 2007 U.S. Dist. Lexis 27048 at *2-3 (S.D.N.Y. 2007).

²² 2015 U.S. App. Lexis 3016 (2d Cir. Feb. 26, 2015).

²³ City Reply Mem. (Dkt. # 411) at p. 11.

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Mateo v. Bristow²⁴ is also directly relevant:

Finally, in their Reply Memorandum, Defendants for the first time assert that Dean and Maldonado are entitled to qualified immunity in connection with their search of Plaintiff's cell. (Reply 8-9.) It is well established, however, that a court should not "consider arguments that are raised for the first time in a reply brief." Clubside, Inc. v. Valentin, 468 F.3d 144, 159 n.5 (2d Cir. 2006); see ABN Amro Verzekeringen BV v. Geologistics Ams., Inc., 485 F.3d 85, 97 n. 12 (2d Cir. 2007) ("We decline to consider an argument raised for the first time in a reply brief."); Patterson v. Balsamico, 440 F.3d 104, 113 n.5 (2d Cir. 2006) ("This Court generally will not consider arguments raised for the first time in a reply brief."); Fisher v. Kanas, 487 F. Supp. 2d 270, 278 (E.D.N.Y. 2007) (finding that an argument raised for the first time in a reply brief was waived); Playboy Enters. v. Dumas, 960 F. Supp. 710, 720 n.7 (S.D.N.Y. 1997) ("Arguments made for the first time in a reply brief need not be considered by a court.") (collecting cases). Defendants offer no reason why that rule should not apply here, and the Court perceives none. Accordingly, because Defendants failed to raise qualified immunity in their initial brief, the Court deems that argument waived for purposes of this motion and will not consider it. See Rowley v. City of New York, No. 00 Civ. 1793 (DAB), 2005 U.S. Dist. LEXIS 22241, 2005 WL 2429514, at *5 (S.D.N.Y. Sept. 30, 2005) (declining to consider a qualified immunity argument raised for the first time in a reply brief).

Thus, the Court should not consider this argument. In the alternative, the Court should permit Officer Schoolcraft to demonstrate that the argument should be rejected on the merits. Qualified immunity turns on the clearly established law that existed at the time of the misconduct, not subsequent developments in the law.²⁵ And for purposes of qualified immunity, the governing law was clearly established as of 2009: under the First Amendment, a governmental actor could

²⁴ 2013 U.S. Dist. LEXIS 106478 at * 25-26 (S.D.N.Y. July 16, 2013).

²⁵ Pearson v. Callahan, 555 U.S. 223, 244 (2009) ("This inquiry turns on the objective legal reasonableness of the action, assessed in light of the legal rules that were clearly established at the time it was taken"); Golodner v. Security Technology Systems LLC, 770 F. 3d 196, 203 (2d Cir. 2014) (courts review the clearly-established issue prior to and at the moment of the alleged violation).

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not lawfully take adverse actions against a public employee in retaliation for that employee's speech on matters of public concern.²⁶

Thus, the City Defendants' argument about *Matthews* should be rejected. Moreover, the *Matthews* decision, which was decided after we submitted our last memorandum of law to the Court on the First Amendment issue, makes clear that Officer Schoolcraft's speech and conduct raising issues with IAB, QAD and his supervisors at the 81st Precinct, as well as his plans to report that misconduct to the Commissioner, are matters of public concern that are entitled to First Amendment protection *before* his October 31, 2009 suspension.

* * *

For these reasons, we request that the Court schedule this matter for a premotion conference on our proposed motion to strike the Lamstein Declaration and to disregard the City Defendants' qualified immunity defense.

Respectfully submitted,

Nathaniel B. Smith

All Counsel (by email w/ encl.)

²⁶ Golodner v. Security Technology Systems LLC, 770 F. 3d 196, 206 (2d Cir. 2014) (right to be free from retaliation for speech on matters of public concern was firmly established well before 2009).

EXHIBIT A

Page 1 1 UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK -----X 2 3 ADRIAN SCHOOLCRAFT, 4 Plaintiff, 5 Case No: 6 10 CV 06005 - against -7 THE CITY OF NEW YORK, ET AL., 8 9 Defendants. ----X 10 11 100 Church Street New York, New York 12 January 30, 2014 13 10:22 a.m. 14 15 16 17 DEPOSITION OF CATHERINE LAMSTEIN-REISS, M.D., 18 pursuant to Subpoena, taken at the above 19 place, date and time, before DENISE ZIVKU, a Notary Public within and for the State of 20 21 New York. 22 23 24 25

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- 2 made a complaint contesting his annual 3 performance evaluation and a complaint about them taking his memo book. 4 5 He did not complain to you about 6 what he perceived as retaliation by his supervisors at the 81 Precinct? 7 8 MR. KRETZ: Objection. MS. PUBLICKER METTHAM: 9 10 Objection. He did not tell me that he made 11 Α. 12 any kind of formal complaint about that. That he made any kind of complaint --13
- 17 Objection.

Q.

1

14

15

16

- 18 A. Yes.
- 19 Q. When did he tell you that?
- 20 A. The first time I saw him.
- 21 Q. April 13, 2009?
- 22 A. Right. That he thought they
- 23 were mad at him for contesting his
- 24 evaluation.
- 25 Q. All right, can you turn to the

Did he tell you that he was

getting retaliated against by supervisors?

MS. PUBLICKER METTHAM:

1 C. LAMSTEIN-REISS, M.D. 2 third page of Exhibit 68, please? 3 What page? Α. 4 Q. The third page of the big fat 5 document? 6 MR. CALLAN: What's the Bates 7 Stamp? MR. SMITH: It's 2895. 8 9 Q. Have you ever seen this page 10 before? 11 We're talking about the timeline Α. dated 2/21? 12 13 Q. Correct. 14 Α. Yes. 15 Q. Who prepared this? I did. 16 Α. 17 Q. Why? 18 My director asked me to put Α. 19 together kind of a brief minimal timeline in 20 preparation for meeting with people at the 21 department advocates office. I can't 22 remember if legal bureau was there too. I 23 remember at least some people of department 24 advocates office who wanted an understanding 25 of our case with him and the timeline of

- 1 C. LAMSTEIN-REISS, M.D.
- 2 things.
- Q. When --
- A. February 21, 2010. So instead
- 5 of sitting in a meeting taking time going
- 6 through a whole folder, it would help us to
- 7 work more quickly discuss the main point.
- Q. And was the basis for this
- 9 timeline your notes?
- 10 A. Yes.
- 11 Q. Was there anything else, other
- 12 than your notes that formed the basis for
- 13 this timeline?
- MS. PUBLICKER METTHAM:
- 15 Objection.
- 16 A. It's possible some things were
- 17 from my clear memory at the time that were
- 18 not in the notes or other information we
- 19 received from a hospital he had seen or --
- 20 but it's actually the time I wrote this that
- 21 also included information from IAB and from
- 22 his command and the duty captain on the
- 23 night of Halloween. All of that is in the
- 24 notes.
- Q. Is this an accurate summary,

- 1 C. LAMSTEIN-REISS, M.D.
- 2 this three-page document Bates Stamped 2895
- 3 through 2897?
- 4 A. In it's briefest format.
- 5 Q. Are there any errors in it that
- 6 you're aware of?
- 7 MS. PUBLICKER METTHAM:
- 8 Objection.
- 9 A. No, there are no errors. I tend
- 10 to be more detailed, my directors prefer
- 11 things more brief --
- 12 Q. -- I just want to know if there
- 13 are any errors --
- 14 A. There are no errors there may be
- 15 things that I would have thought were
- 16 pertinent to put in and my director said ah,
- 17 we don't need that. Stick to the basics.
- 18 Q. Sitting here today there is no
- 19 mistakes in here, right?
- 20 MS. PUBLICKER METTHAM:
- 21 Objection.
- 22 A. To the best of my knowledge.
- 23 O. The first line says 4/13/09 MOS
- 24 referred to PES.
- MS. PUBLICKER METTHAM: That's

- 1 C. LAMSTEIN-REISS, M.D.
- 2 report that gets sent out. We have our case
- 3 records and we have like a fill in the blank
- 4 form that just says that the gun should be
- 5 removed. Not any kind of evaluation, just
- 6 that the guns were removed and that we're
- 7 requesting a new ID card and so on.
- 8 Q. Okay. Going back to the
- 9 typewritten timeline that you've created.
- 10 The entry -- there's an entry 10/31/09. You
- 11 were the psychologist on pager duty. You
- 12 see that?
- 13 A. I do.
- 14 Q. And you got a call from Captain
- 15 Lauterborn?
- 16 A. Yes.
- 17 Q. Do you remember getting that
- 18 call from Captain Lauterborn?
- 19 A. More specifically, Captain
- 20 Lauterborn called the sick desk supervisor,
- 21 who then called the psychologist on pager
- 22 duty requesting I respond and in response to
- 23 that request I called Captain Lauterborn
- 24 back. So he didn't call me directly.
- 25 Q. Did Captain Lauterborn know that

- 1 C. LAMSTEIN-REISS, M.D.
- 2 you were the psychologist that had seen
- 3 Schoolcraft when he called?
- 4 MS. PUBLICKER METTHAM:
- 5 Objection.
- 6 A. I don't believe he did. What
- 7 happens is they call the sick desk
- 8 supervisor, who looks up and sees who is on
- 9 duty and they call whoever is on duty.
- 10 Q. So on October 31, 2009, you
- 11 happened to be on pager duty?
- 12 A. Correct.
- 13 Q. So Captain Lauterborn called the
- 14 sick desk and he was looking for somebody
- 15 from the psychological evaluation services?
- MS. PUBLICKER METTHAM:
- 17 Objection.
- 18 A. Psychological evaluation
- 19 section. Although, the psychological
- 20 services section, which does pre-employment
- 21 screening, they also do pager duty. He was
- 22 looking for a department psychologist to
- 23 give him a call to consult about the
- 24 situation.
- 25 Q. Did you tell Captain Lauterborn

- 1 C. LAMSTEIN-REISS, M.D.
- 2 you had evaluated and met with Schoolcraft?
- A. Yes.
- 4 Q. And told him that during the
- 5 conversation that you had with him on
- 6 October 31st?
- 7 A. Yes.
- Q. What else did you tell Captain
- 9 Lauterborn?
- 10 A. He was asking me if there was
- 11 any reason to be concerned about the fact
- 12 that he went AWOL and that he seemed to be
- 13 upset and said he had stomach pains and
- 14 should they be concerned, do they need to go
- 15 look for him, make sure he's okay.
- 16 Typically, in that situation they do. He
- 17 said he wasn't sure they wanted to suspend
- 18 him, because they thought this was more of a
- 19 psychological problem as opposed to a
- 20 disciplinary one and so he wanted to consult
- 21 with me.
- I told him that as of the last
- 23 time I saw him, which was a few days
- 24 earlier, I had no reason to think he was a
- 25 danger to himself or others. Never

1	C. LAMSTEIN-REISS, M.D.
2	expressed thoughts of suicide. It didn't
3	seem to be anything that serious that would
4	lead me to be concerned. However, he had
5	also never acted like that before. He never
6	went AWOL, leaving even though he was told
7	to stay and was now saying he had stomach
8	pains, while being visibly upset. So I did
9	not know if that meant something new
10	happened that led him to be so upset that he
11	was acting in a different manner going AWOL
12	and that kind of stuff and led to a
13	reoccurrence of stomach pains badly enough
14	that he did that or maybe the stomach pains
15	never went away to begin with and I wasn't
16	sure and that my evaluation is even
17	though, I was not saying this person is
18	suicidal, he's had these thoughts, you must
19	it was nothing like that. I had no
20	reason to think he was, except my evaluation
21	was only as good as the last time I saw
22	them.
2 3	So if something happened since
24	then or they're acting different since then,
25	that may be different. And so I thought he

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- 2 absolutely did need to find him and make 3 sure that he was okay. 4 Was your sharing of information 5 about Schoolcraft with Lauterborn a 6 violation of Schoolcraft's privacy? 7 MS. PUBLICKER METTHAM: 8 Objection. 9 No. This is -- they're not 10 treatment records. Whenever they come to
- our office before they -- before I allow
 them to open their mouth on all, I make sure
 that they know that the interview is on the
 record only within the department and only
 on a need to know basis, so within that it
 is on the record.
- 17 So in this case, someone is AWOL 18 and they're upset and they leave and they 19 say their stomach hurts and they're acting 20 in that manner, I deemed there was a need to 21 know, for him to know some basic information 22 about why he was on restricted duty. Not 23 information like, you know, whether or not 24 his father used -- had any kind of drug 25 problem, whether or not he's had sex in the

- 1 C. LAMSTEIN-REISS, M.D.
- 2 last few years. I mean, like that's not his
- 3 business. He doesn't need to know that.
- 4 That does not relate to the situation at
- 5 hand.
- 6 What did relate was issues of do
- 7 we need to be concerned about this guy and
- 8 so I released information that I deemed
- 9 pertinent to that, while keeping everything
- 10 else as confidential. Like I said, even
- ll though it's on the record within the
- 12 department, it's an NYPD evaluation. It's
- 13 not private treatment records. Not
- 14 everything needs to be known -- to be given
- 15 out rather.
- 16 Q. The entry here says that Captain
- 17 Lauterborn kept you informed throughout the
- 18 night; is that right, he did that?
- 19 A. Correct.
- 20 Q. Did he tell you that he spoke
- 21 with Schoolcraft's father?
- 22 A. I would have to reference my
- 23 notes, but I believe he did. Yes, he
- 24 definitely did.
- 25 O. Did he tell you that

- 1 C. LAMSTEIN-REISS, M.D.
- 2 Schoolcraft's father told Lauterborn that he
- 3 knew Schoolcraft was fine?
- A. I believe the words were that
- 5 there was nothing to worry about or
- 6 something, yeah, to that effect.
- 7 Q. Lauterborn did report to you
- 8 that he had a conversation with the father
- 9 and the father was not concerned about the
- 10 son?
- MS. PUBLICKER METTHAM:
- 12 Objection.
- 13 A. The father was not concerned,
- 14 but I don't know that he had spoken with his
- 15 son that day or that he even knew everything
- 16 going on. It seemed the father didn't know
- 17 why he was on restricted duty. And it
- 18 seemed to me that maybe Officer Schoolcraft,
- 19 at the time, it seemed to me that he maybe
- 20 just didn't want his father to know why he
- 21 was on restricted duty. That was my theory
- 22 at the time. So the fact that the father
- 23 didn't know that, I didn't know if the
- 24 father knew he went to a hospital with heart
- 25 symptoms that were stress related. I didn't

- 1 C. LAMSTEIN-REISS, M.D.
- 2 know if the father knew he'd been prescribed
- 3 Seroquel. I didn't know. It seemed like he
- 4 was not telling his father this stuff.
- 5 O. What I want to know is what did
- 6 Captain Lauterborn tell you about his
- 7 conversation with the father?
- 8 A. My recollection -- I would have
- 9 to review my notes, but my recollection is
- 10 that the father said he was not concerned at
- 11 all, but he was explaining to the father the
- 12 reasons they were concerned and the reason
- 13 they were looking for him.
- 14 Q. Okay. If you want to look at
- 15 your notes, I would appreciate that.
- 16 A. It's from the notes of October
- 17 31, 2009 the time of that conversation was
- 18 20:15 hours noted in the left-hand column of
- 19 the page, 20:15.
- 20 MS. PUBLICKER METTHAM: That is
- 21 on D283.
- Q. Do you have the first page of
- 23 your 10/31/09 notes in front of you?
- 24 A. Which page is that.
- MS. PUBLICKER METTHAM: I

- 1 C. LAMSTEIN-REISS, M.D.
- believe you're 2899 and 282, Mr. Smith?
- MR. SMITH: I'm actually
- 4 referring to 2901, with the ledger and
- 5 pager.
- 6 MS. PUBLICKER METTHAM: It is
- 7 D282, it is but 2901.
- 8 Q. So is there a rather long entry
- 9 for 10/31 in your file, Doctor?
- 10 A. I don't know what you consider
- 11 rather long, but it's --
- 12 Q. Four pages?
- 13 A. One, two, three, four and a
- 14 third, yes.
- 15 Q. All right, can you just read
- 16 that into the record.
- 17 A. Sure. Pager duties regarding
- 18 P.O. Adrian Schoolcraft, 10/31/09, on left
- 19 of the page I noted that I was on at 17:40
- 20 hours. Page number 455 refers to the sick
- 21 desk log of my being put on duty. I noted
- 22 below that that I was off duty at 21:40
- 23 hours. Back to the main text in the body.
- 24 10/31/09. Telephone contact with sick desk
- 25 Sergeant Kloos.

C. LAMSTEIN-REISS, M.D.

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- 2 MS. PUBLICKER METTHAM: 3 K-1-o-o-s. 4 Yes. I believe that's the Α. spelling. It's possible I'm wrong about the 5 6 spelling. MOS was at work today. He 7 slammed sick report on the sergeant's desk and said he was going out sick. Sergeant 8 9 told him to stick around. He refused and 10 left. Didn't follow procedure. Typically, 11 they called sick desk and get authorization 12 and wait for command to arrange coverage.
- 15 Cops are at his home waiting for his

MOS was working on the telephone

16 arrival. They called MOS on his cell phone.

switchboard. MOS did not go straight home.

- 17 They think he picked up and then hung up.
- 18 Since then no answer. They are thinking of
- 19 suspending him, but they suspect it is more
- 20 of psych problem. XO of MOS's command, the
- 21 81 Precinct, is Captain Lauterborn and
- 22 requests response from PES and I signed my
- 23 name.

1

13

- 24 Q. The is information that you
- 25 received from Sergeant Kloos from the sick

1 C. LAMSTEIN-REISS, M.D. 2 desk? 3 Correct. Α. 4 All right, please continue. Q. 5 It will be more clear as I'm 6 reading through the notes, but it's possible 7 that the part about possibly not suspending 8 him because they thought it might be more of 9 a psych problem, that may have come 10 secondhand through Sergeant Kloos. If it 11 came directly, it would be the rest the 12 notes. 13 Telephone contact with Captain 14 Lauterborn. MOS doing a 7 to 3 day tour 15 today at TS all day, meaning telephone switchboard all day. All was fine. He 16 17 typically keeps to self and doesn't converse much with other officer and did same today. 18 19 Nothing seemed out of ordinary. 2:00 p.m., he went down to locker room, changed and 20 then put a sick report on sergeant's desk 21

25 has made allegations against others.

and said going sick. He wrote that he had

stomach pain. Sergeant tried to stop him,

but he left anyway. Underlying issues. MOS

22

23

1	C. LAMSTEIN-REISS, M.D.
2	Department's investigation of these
3	allegations picked up this week and it
4	snowballed from there. This week about four
5	P.O.'s and two civilian people were called
6	down for questioning. MOS goes up to them
7	and asked about it. Notifications are in
8	telephone message log, so he knows who is
9	going. When they return, he tries to
10	intercept them and get information from them
11	about what he was asked about it
12	should have been what they were asked. Or
13	that thought the person was a he. Anyway,
1 4	that's what it says what he was asked.
15	Today was first tour back after RDOs. Not
16	sure what happened today that triggered him
17	to leave like that.
18	Delegates, peers, sergeants and
19	Captain Lauterborn all left him messages and
20	asked him to go back to command. A
21	lieutenant is at him home. His car is

25

22 there. Landlord said MOS may have been

23 there earlier. Can usually hear MOS's

footsteps when home. MOS not home.

Next entry, I left a message on

1	C. LAMSTEIN-REISS, M.D.
2	MOS's cell phone. I gave my cell number and
3	Captain Lauterborn's cell phone. I told him
4	that the Captain said he could just return
5	to his home if didn't want to go to the
6	command. I urged him to go home or call his
7	captain, so this could be resolved quickly
8	and easily without need for a city-wide
9	mobilization to search for him or
10	disciplinary action, like suspension. Much
11	easier to just resolve it quickly and easily
12	now. I explained that everyone is just
13	concerned for his safety and they want to
14	make sure everyone is okay.
15	Next entry, telephone contact
16	with Captain Lauterborn. I informed captain
17	that I left message on MOS's cell phone as
18	described above. I suggested that captain
19	call MOS's father because that's the person
20	he is closest to and the person who is most
21	likely to know his whereabouts. Captain
22	will call undersigned when locates or hears
23	from MOS, signed my name.
24	Next entry at 20:15 hours.

Telephone contact with Captain Lauterborn.

- 1 C. LAMSTEIN-REISS, M.D.
- 2 Still no word from MOS. Captain called MOS's
- 3 father, who also hadn't heard from him.
- 4 Father, quote, had some issues, end quote,
- 5 over the phone -- over phone, but eventually
- 6 understood captain's point of view and
- 7 confirmed. Hoping father will call MOS and
- 8 encourage him to go home. Captain will go
- 9 to MOS's home. It's possible he's home, but
- 10 not answering phone. I asked if the
- 11 landlord has a spare key. He said yes and
- 12 captain has it, but legal issues with using.
- 13 Have to have cause. Hoping to avoid going
- 14 that route.
- Q. What were those legal issues?
- 16 A. I didn't ask. I don't know.
- MS. PUBLICKER METTHAM:
- 18 Objection.
- 19 Q. All right, go ahead?
- 20 A. And I signed my name. 20:40
- 21 hours the next entry -- I'm sorry 21:40
- 22 hours is the next entry. Telephone contact
- 23 with Sergeant Kloos. Sick desk off duty
- 24 since not known when MOS might be located
- 25 and I signed my name.

1	C. LAMSTEIN-REISS, M.D.
2	Then next page on the top
3	regarding Adrian Schoolcraft addendum to
4	10/31/09 note of telephone contact with
5	Captain Lauterborn at approximately 17:50
6	hours. Delayed entry made on 10/14/10. In
7	reviewing folder, the below information was
8	found to not be documented in prior note,
9	but is clear in undersigned's memory.
10	Captain Lauterborn asked if MOS was suicidal
11	or depressed because he needed to know how
12	concerned they should be about MOS's safety
13	given his going AWOL. Not answering phone
14	calls, not answering door of home, but his
15	car was there, et cetera.
16	Q. Can I stop you right there.
17	When did you make this entry?
18	A. October 14, 2010.
19	Q. October what?
20	A. 14, 2010.
21	Q. Can I see the original that
22	you're reading from?
23	A. Sure.
2 4	Q. How do you know that you made

25 this entry on October 24, 2010?

1	C. LAMSTEIN-REISS, M.D.
2	MS. PUBLICKER METTHAM:
3	Objection. She said October 14th.
4	MR. SMITH: No, I'm sorry you're
5	right. The 14th.
6	A. Because that's what I wrote.
7	It's there on the page.
8	MS. PUBLICKER METTHAM: She also
9	read it out loud.
L O	Q. And what you read out loud was
L 1	the words delayed entry made on $10/14/10$?
L 2	A. Correct, that's what that means.
L 3	Q. Why did you make a delayed entry
L 4	in the file?
L 5	A. In reviewing the file I realized
L 6	that my initial notes of my telephone
L 7	contact with Captain Lauterborn were focused
L 8	on information he was telling me and I did
L 9	not document what I had told him regarding
2 0	that. Since then or perhaps, I had become
2 1	aware just from interviews the officer did
2 2	with the media that mischaracterized that
2 3	conversation that said I told the captain
2 4	that there were I think no cause for concern
2 5	or something like, that he had no

- 1 C. LAMSTEIN-REISS, M.D.
- 2 psychological problems. Something like
- 3 that. So at some point in the future, I was
- 4 reviewing the folder and I realized that my
- 5 telling the captain everything in that note
- 6 was not previously documented, but it was
- 7 very, very clear in my memory. So I felt it
- 8 important to add that note and I noted the
- 9 date that I added it.
- 10 Q. So what was the statement in
- 11 what media that led you a year later to make
- 12 this entry?
- MS. PUBLICKER METTHAM:
- 14 Objection.
- 15 A. I don't remember which article.
- 16 I just recall there had been report that I
- 17 said that there was no -- that he had no
- 18 kind of psychological problem or anything,
- 19 implying that I said there was no cause for
- 20 concern that night and so as I was reading
- 21 it, I realized that was missing that I
- 22 really was writing what the captain was
- 23 telling me what was going on and I didn't
- 24 document what I had told the lieutenant --
- 25 I'm sorry, the captain. So at some point

1	(C. LAMSTEI	N-REISS, M.D	
2	when I was	reviewing	the folder as	nd I
3	realized th	at wasn't	there, I deep	med it
4	important e	nough to m	ake a delaye	d entry and
5	put that in	there. I	think the re	eport I had
6	read was so	mething th	at I said he	was never
7	suicidal or	something	like that,	but it left
8	out the par	t that my	evaluation o	f that is
9	only as goo	d as the l	ast time I s	aw him and
10	if he was a	cting diff	erently or i	f something
11	more stress	ful happen	ed after I s	aw him,
12	then I can '	t comment	on any on	his mental
13	status that	date, onl	y as of a fe	w days ago.
14	Someone cou	ld find ou	t very upset	ting and
15	then kill t	hemselves	and three day	ys earlier
16	may not hav	e been in	their mind a	nd that
17	qualifier h	ad not ini	tially been	reported.
18	Q.	Did you e	ver have any	
19	discussions	with anyb	ody about ma	king this
20	entry, this	delayed e	ntry?	
21		MS. PUBLI	CKER METTHAM	:
22	Object	ion.		
23	A .	I don't b	elieve I did	. I don't
2 4	recall.			
25	Q.	Did you t	alk with you	r

- 1 C. LAMSTEIN-REISS, M.D.
- 2 supervisors about making this entry?
- A. I don't think I did. I know my
- 4 supervisor always reviews my folder. So he
- 5 probably reviewed that at some point and saw
- 6 that.
- 7 Q. It was made a year after the
- 8 event?
- 9 A. Yeah, actually I think Dr. Knour
- 10 reviewed it at some point, as well --
- 11 Q. Did you ever have any discussion
- 12 with Propper and Knour about this delayed
- 13 entry?
- 14 A. I have no idea. I don't
- 15 remember.
- 16 Q. Did you ever have any discussion
- 17 with anybody at the 81st Precinct about this
- 18 delayed entry?
- 19 A. No, that I did not.
- Q. Did ever have any discussion
- 21 with anybody employed by the City of New
- 22 York about this delayed entry?
- MS. PUBLICKER METTHAM:
- 24 Objection. Not including conversations
- you've had with legal counsel.

- 1 C. LAMSTEIN-REISS, M.D.
- 2 A. Right. Not including
- 3 conversations with legal counsel and I did
- 4 not have any other discussions with anybody.
- 5 Q. Wait a minute, excluding any
- 6 conversations that you may have had with any
- 7 lawyers?
- 8 A. Right.
- 9 Q. Did you ever discuss this
- 10 delayed entry with anybody working for the
- 11 City of New York?
- 12 A. It would be in the folder when I
- 13 met with IAB to go over my folder if that
- 14 conversation was -- if going over my case
- 15 folder was after that date, then yes, I
- 16 would have.
- 17 Q. What I want to know is sitting
- 18 here today, do you have a recollection of
- 19 discussing this delayed entry with anybody
- 20 who is an employee of the City of New York,
- 21 other than maybe conversations you had with
- 22 your lawyer?
- MS. PUBLICKER METTHAM:
- 24 Objection.
- 25 A. I just answered that. I do not

1	C. LAMSTEIN-REISS, M.D.
2	have any recollection. What I am telling
3	you is I recall that at some point I
4	reviewed the case folder with IAB Group 1.
5	The date of that is in the case folder. If
6	the date is after I made that entry, then I
7	would have discussed it with them.
8	Q. I am not asking you about what
9	you would have done. I am asking about what
10	you recall. Do you understand the
11	difference?
12	MS. PUBLICKER METTHAM: If you
13	want to give her her file, she can tell
14	you if it was before or after she met
15	with IAB.
16	MR. SMITH: I'm not interested
17	in her inferences or your arguments
18	MR. KRETZ: She's given you an
19	answer, Nat.
20	MR. SMITH: I just want to know
21	what you recall. We can always draw
22	inferences based on facts and we can
23	draw more inferences based on more
24	facts.
25	Q. I want to know what you know

C. LAMSTEIN-REISS, M.D.

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- 2 about discussing this delayed entry with 3 anybody? 4 MS. PUBLICKER METTHAM: 5 Objection. 6 MR. KRETZ: She's answered that. 7 MR. SMITH: I think she has --8 Α. I only recall at some point --9 at some point I reviewed all my notes with 10 IAB --11 Q. But you don't have a 12 recollection sitting here today --
- 14 Q. -- of discussing?

No.

- 15 A. I don't recall if that was
- 16 before or after I made that entry.
- Q. Do you have a recollection
- 18 sitting here of discussing the delayed entry
- 19 with IAB?

1

13

- 20 MS. PUBLICKER METTHAM:
- 21 Objection.

Α.

- 22 A. No. I recall discussing the
- 23 full case and that would have been part of
- 24 it if that conversation was after that date.
- Q. All right, thank you. I think I

1 C. LAMSTEIN-REISS, M.D.

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- 2 understand what you're telling me. Did you
- 3 ever speak with anybody from the media about
- 4 Schoolcraft?
- 5 A. No. We never speak to the
- 6 media.
- 7 Q. Okay. So you never spoke to
- 8 anybody from the media about Schoolcraft,
- 9 right?
- 10 A. Correct.
- 11 Q. Continue reading the delayed
- 12 entry.
- 13 THE WITNESS: Do you have where
- 14 I left off?
- 15 Q. The first sentence ends with in
- 16 writer's memory.
- 17 A. Undersigned.
- 18 Q. Undersigned's memory, right.
- 19 Can you go on from there?
- 20 A. From there, Captain Lauterborn
- 21 asked if MOS was suicidal or depressed
- 22 because he needed to know how concerned they
- 23 should be about MOS's safety given his going
- 24 AWOL, not answering phone calls, not
- 25 answering door of home, but his car was

1	C. LAMSTEIN-REISS, M.D.
2	there, et cetera. I informed captain that
3	last saw MOS at PES on $10/27/09$ and at that
4	time he looked okay and reported being
5	asymptomatic. At no time had he ever
6	expressed thoughts of suicide, but he also
7	never went AWOL before and acted the way he
8	was acting on $10/31/09$. My assessment of
9	his suicide risk is only as good as the last
10	time I saw him. If something happened after
11	and led him to be so upset that he left work
12	without permission an hour before the end of
13	his tour, said he had stomach pains, et
14	cetera. Then I am unable to say with any
15	reasonable amount of certainty that he is
16	not at risk for suicidal ideation under
17	present circumstances.
18	I provided captain with basic
19	information about reason MOS was on
20	restricted duty. That he had significant
21	physical symptoms of stress insomnia, GI
22	symptoms, cardiac symptoms, et cetera.
2 3	Unclear if MOS was reporting openly on
2 4	10/27/09 when he said all of his symptoms
2.5	wont away without treatment. Motivation to

1	C. LAMSTEIN-REISS, M.D.
2	minimize is that he did not want to be
3	psychological restricted duty. He was open
4	during initial evaluation, but denied any
5	and all symptoms in subsequent monitoring
6	sessions. When also expressed being upset
7	about being on psychological restricted
8	duty. His reporting on $10/31/09$ that he had
9	stomach pains severe enough to warrant
10	leaving work before end of tour without
11	permission suggests either the symptoms
12	never did go away or they reoccurred on
13	10/31/09 due to his being really upset about
14	something. It is also possible that there
15	was medical cause for the stomach pain, but
16	the angry manner in which he left work
17	suggests a psychological cause and I signed
18	my name.
19	MS. PUBLICKER METTHAM: D284
20	and
21	MR. OSTERMAN: 2890.
22	Q. Had you, when you prepared this
23	note, any thoughts that there was going to
24	be litigation about what happened to
25	Schoolcraft on October 31, 2009?

- 1 C. LAMSTEIN-REISS, M.D.
- MS. PUBLICKER METTHAM:
- 3 Objection.
- A. I don't remember. I would have
- 5 to refer to my full notes, including
- 6 redacted information.
- 7 Q. When did it first occur --
- 8 A. I don't recall.
- 9 Q. You don't recall?
- 10 A. I don't recall.
- 11 Q. Had you been named as a
- 12 defendant in those two lawsuits Howard and
- 13 Nelson as of the time of this delayed entry?
- MS. PUBLICKER METTHAM:
- 15 Objection.
- 16 A. Howard -- this more recent than
- 17 that. Actually, I am really not sure. I
- 18 think -- I don't remember the dates of those
- 19 when they first started.
- 20 Q. Have you ever made a delayed
- 21 entry like this in a patient's file or file
- 22 like this?
- 23 MS. PUBLICKER METTHAM:
- 24 Objection.
- 25 A. Yes.

- 1 C. LAMSTEIN-REISS, M.D.
- Q. Have you ever made a delayed
- 3 entry of over a year?
- 4 MS. PUBLICKER METTHAM:
- 5 Objection.
- 6 A. If I was reviewing a case over a
- 7 year later -- I mean, typically we don't
- 8 have people who have their cases open all
- 9 that long, unless they're put in for
- 10 disability. If I refuted and I realized
- 11 there was information missing that was very
- 12 clear in my mind, I would have added it. I
- 13 don't recall. I don't recall with any
- 14 certainty.
- 15 Q. So the answer to my question is
- 16 you don't remember ever doing this before,
- 17 right?
- 18 MS. PUBLICKER METTHAM:
- 19 Objection. That's not what she
- 20 testified to.
- 21 Q. Well, have you ever made a
- 22 delayed entry that was delayed by a year,
- 23 other than this one?
- 24 A. I do not recall.
- 25 Q. You don't recall ever doing it

- 1 C. LAMSTEIN-REISS, M.D.
- 2 before, right? Is that what you're saying?
- 3 MS. PUBLICKER METTHAM:
- 4 Objection.
- 5 A. I definitely made delayed
- 6 entries --
- 7 Q. I'm talking about a delay of a
- 8 year, Doctor?
- 9 MS. PUBLICKER METTHAM: Asked
- 10 and answered.
- 11 A. Typically, cases are not open
- 12 more than a year --
- 13 Q. Whether they're typically open
- 14 for 6,000 years is irrelevant to my
- 15 question. My question is, do you have a
- 16 recollection of making a delayed entry of a
- 17 year or approximately a year ever before,
- 18 other than this entry?
- MS. PUBLICKER METTHAM:
- 20 Objection. You've asked this twice.
- 21 She's answered it twice. Stop
- 22 harassing my witness. I'll allow her
- 23 to answer one more time.
- Q. Go ahead.
- 25 A. I don't have any recollection of

- 1 C. LAMSTEIN-REISS, M.D.
- 2 that.
- 3 Q. Thank you. Why did you make
- 4 this delayed entry?
- 5 MS. PUBLICKER METTHAM:
- 6 Objection. Asked and answered. You
- 7 can answer again for the third time.
- 8 A. I did already answer that.
- 9 O. You answered it before I knew
- 10 what the entry was. So why don't you answer
- 11 it again?
- 12 A. Okay. Because I was reviewing
- 13 the case folder and I realized that I had
- 14 left all of that out. That my notes were
- 15 focused -- my notes, when I was on pager
- 16 duty, were focused on getting information
- 17 from Captain Lauterborn and documenting what
- 18 he was reporting on about what was going on
- 19 and I realized I did not adequately report
- 20 about what I told Captain Lauterborn and I
- 21 had some awareness that news articles or
- 22 interviews were saying that I said there was
- 23 -- he was definitely not suicidal or had no
- 24 concerns about that and that the department
- 25 -- kind of implying the department ignored

- 1 C. LAMSTEIN-REISS, M.D.
- 2 that and that was not the case. So when I
- 3 realized I never documented that I felt
- 4 there was something missing from the report.
- 5 That was very clear in my mind. So I added
- 6 the entry. I noted the date that I added
- 7 the entry. I don't --
- 8 Q. So there's a media report about
- 9 the Schoolcraft matter that triggered this
- 10 entry, right?
- 11 A. No. The entry was triggered by
- 12 my realizing this information was missing.
- 13 It probably came to my attention more
- 14 because I realized this had all been
- 15 mischaracterized in the media and then I
- 16 realized it was never properly documented in
- 17 the folder and so because of that I made the
- 18 entry. Not because of the media reports,
- 19 but because in reviewing the case folder, I
- 20 realized that information was missing.
- 21 O. Information that was
- 22 inconsistent with what was being described
- 23 in the media, correct?
- MS. PUBLICKER METTHAM:
- 25 Objection.

- 1 C. LAMSTEIN-REISS, M.D.
- 2 A. Correct.
- 3 Q. Am I correct that you made this
- 4 delayed entry based exclusively on the
- 5 content of memory?
- A. Yes.
- 7 Q. You didn't have any other
- 8 paperwork that reflected or aided you in
- 9 making this entry?
- 10 A. No.
- 11 Q. Can you continue reading the
- 12 entries dated 10/31/09?
- 13 A. Yes. The next page is the entry
- 14 that starts at 22:35 hours, top of the page
- 15 says regarding P.O. Adrian Schoolcraft
- 16 10/31/09. At 22:35 hours telephone contact
- 17 with Captain Lauterborn. Made entry using
- 18 key because landlord heard footsteps. He
- 19 said he was sleeping whole time, but not
- 20 possible with the amount of banging and
- 21 yelling they were doing outside before going
- 22 in with the key to make sure he was okay.
- 23 It was bad scene. He had admitted that
- 24 sergeant called him back and denied him the
- 25 sick leave, but that he left the command

- 1 C. LAMSTEIN-REISS, M.D.
- 2 anyway. Captain explained that they were
- 3 concerned because he says sick and they
- 4 didn't know why he left in such a huff that
- 5 way. MOS said, quote, why worried when no
- 6 one was ever worried about me before, end
- 7 quote. Let me correct, Captain Lauterborn
- 8 didn't say those were the exact words he
- 9 used. He refused to go to command and kept
- 10 saying he didn't feel well. Captain called
- 11 ambulance, EMTs took his vitals. Blood
- 12 pressure very high 170 over 120. EMT wanted
- 13 him to go to hospital because blood pressure
- 14 so high, not optional, MOS agreed, but then
- 15 refused.
- 16 Q. What does that mean not
- 17 optional?
- 18 MS. PUBLICKER METTHAM:
- 19 Objection.
- 20 A. My understanding is that the EMT
- 21 believed that his blood pressure was so high
- 22 that he absolutely had to go to the hospital
- 23 and that it was not optional.
- Q. Please continue.
- A. MOS agreed, but then refused. He

4	~	TANCHUTY DUTCE W D
1	.	LAMSTEIN-REISS, M.D.

- 2 ran back inside and had to be strapped down
- 3 and forcibly taken to Jamaica Hospital. I
- 4 asked a follow-up question about that and he
- 5 said MOS said he wanted to sleep at home and
- 6 would go to ER on own the next day, but EMT
- 7 couldn't allow that because blood pressure
- 8 was so high.
- 9 Chief Marino was there and
- 10 suspended him. MOS was very disrespectful
- 11 in every way. Chief gave him so much room,
- 12 but MOS out of control with total disregard
- 13 for supervisors. Whole time talking with
- 14 father on cell phone, could hear father
- 15 yelling. I asked if he was yelling at MOS
- 16 or to him about the situation. He said not
- 17 at MOS. Father angry about NYPD's handling
- 18 of this and other situations of MOS. Father
- 19 doesn't have accurate information in all
- 20 likelihood. Top of the next page. MOS at
- 21 Jamaica Hospital now.
- Q. Hold on a second I need to find
- 23 that page.
- MS. PUBLICKER METTHAM: D288.
- MR. SMITH: And is it also in

1	C. LAMSTEIN-REISS, M.D.
2	the regular production?
3	MS. PUBLICKER METTHAM: I'm
4	looking. I don't know, but it's
5	definitely on D288.
6	MR. SMITH: The documents that
7	are produced are out of order.
8	MS. PUBLICKER METTHAM: I never
9	produced the files with the 29 Bates
10	Numbers as the complete file of PES.
11	That is how they're maintained by IAB.
12	The file bearing the D Bates Number is
13	are what I produced as representative
14	of the PES file.
15	MR. SMITH: Okay. Well, in any
16	event. So that entry
17	MR. PUBLICKER METTHAM: It's on
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19	MR. KRETZ: 2946.
2 0	MS. PUBLICKER METTHAM: 2946.
21	However there's an IAB note that covers
22	the relevant portion that she's reading
23	from at this time.
2 4	MR. SMITH: That explains that.
25	Q. All right. So let's take a look

EXHIBIT B

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DR Lanstein
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2/21/10 - Timeline of PES contacts with P.O. Adrian Schoolcraft

4/13/09 - MOS referred to PES by District Surgeon Dr. Ciuffo for acute anxiety secondary to stress on job. Dr. Lamstein at PES interviewed MOS on same day. MOS complained of chest pains for over one year, stomach problems and trouble sleeping. There were no medical findings. PO Schoolcraft had been to an emergency room, and was prescribed psychotropic medications by his personal physician. Work problems were cited-getting below standards evaluations due to low activity; told to write more summonses and 250s that he disagreed with; claimed that he was assigned to a footpost in front of a building that generates lawsuits against cops. He alleged that other officers wrote false summonses. He hired a lawyer to fight his low evaluation. At Dr. Lamstein's request, PO Schoolcraft signed releases of information to speak with the physician who prescribed the medication, and to get records from the emergency room visit.

4/14/09- Dr. Lamstein discussed the case with Dr. Propper, supervising psychologist at PES. MOS placed on restricted duty due to his anxiety symptoms and use of psychotropic medications.

4/15/09 – Dr. Lamstein spoke with MOS and informed him of psych R/D decision. MOS was not happy with the decision. MOS verbally withdrew releases of information he had signed. Dr. Lamstein asked him to put that request in writing as well.

5/22/09 - MOS rescinded the ROI in writing in a formal legal statement signed by a notary.

7/27/09 – Dr. Lamstein met with MOS at PES. He reported that he no longer felt stressed about anything, and that every one of his physical symptoms of stress was completely better. He denied taking medication for any reason. He said things were better at work since he was on restricted duty because he was left alone, was not getting written up, and they could no longer stick him on a foot post "in front of the most dangerous building in the precinct," or force him to do overtime. Dr. Lamstein urged PO Schoolcraft to get stress management counseling, and at the officer's request recommended two books.

10/13/09 - Dr. Propper received a call from Sgt. Bonilla in the Police Commissioner's office informing PES that MOS' father called "City Hall" and complained to a Deputy Mayor's assistant that his son was never told why he is on R/D.

10/27/09 – Dr. Lamstein returned from vacation and met with MOS to make sure he was clear about the reason he is on R/D. Dr. Lamstein again explained in detail that he was on restricted duty because he had significant physical manifestations of stress that were causing distress, and that he would benefit from treatment. He continued to report that he no longer had physical symptoms of stress and no longer felt stressed at work. He said he called therapists Dr. Lamstein had recommended, but none took his insurance. Dr. Lamstein offered to help him find an in-network therapist who specializes in stress management, and he expressed appreciation for that assistance. Dr. Lamstein soon mailed him a list of psychologists in his preferred location who accepted his insurance and specialized in anxiety and stress management.

10/31/09 - Dr. Lamstein was the psychologist on pager duty when MOS went AWOL. Capt. Lauterborn, MOS' XO at the 81 Pct., kept Dr. Lamstein informed throughout the night. Capt. Lauterborn reported underlying issues with MOS at the command that might have precipitated his going AWOL. He said MOS had made allegations against others and the Department's investigation of those allegations had picked up that week. About 4 PO's and 2 civilians were called down for questioning that week. Notifications were in telephone message log so MOS knew who was going. He went up to them upon their return, trying to get information from them about what they were asked. While MOS was still missing, Dr. Lamstein left a message on MOS' cell phone urging him to call her or his Captain, or return to his home or command.

11/2/09 – Dr. Lamstein received a call from Sgt. DeGrabrizio, IAB Group 31. Dr. Lamstein provided general information about MOS and the reason he is on psych R/D.

11/2/09 – Dr. Lamstein received a call from MOS' father, Larry Schoolcraft. He yelled throughout the conversation in an accusatory, threatening and insulting tone of voice. He was angry because of the events of 10/31. He vaguely threatened legal action and hung up on Dr. Lamstein.

11/4/09 – Dr. Lamstein received a call from Sgt. Scott, IAB Group 1. Sgt. said he interviewed MOS at Jamaica Hospital and PO Schoolcraft signed a release of information authorizing the hospital to release information to the NYPD. Sgt. reported that MOS' father was still alleging that Dr. Lamstein never told MOS why he is on R/D.

- Dr. Lamstein returned a call from MOS' father, Larry Schoolcraft. He was polite and friendly during this call. He said they just had a meeting at the hospital at 2 PM which he had hoped Dr. Lamstein would be able to attend. Dr. Lamstein said that she would be happy to speak with MOS' treatment providers at the hospital as long as PO Schoolcraft signed a release of information authorizing it. He thanked Dr. Lamstein and ended the call courteously.

11/9/09 – Dr. Lamstein spoke with Jamaica Hospital, Christine McMahon, MSW after a few days of leaving each other messages. She said MOS refused to sign a release of information allowing NYPD to release information to the hospital. She said MOS was discharged on 11/6/09 with a follow-up plan of a scheduled appointment with a psychiatrist. She said he had some weird beliefs but was not a danger to himself or others.

-Dr. Lainstein received a can from IAB Group 1, Sgt. Scott and Lt. Crisalli. They reported that they went to MOS' home on 11/6 after he was discharged from the hospital. MOS told IAB that he was kept at Jamaica Hospital because a counselor there used to work at the NYPD and is in cahoots with the NYPD, and the Department wanted him kept there. He had many digital recording devices in his home. He provided recordings to IAB as evidence of what he said was mistreatment by the NYPD on 10/31/09. The recordings included his side of phone conversations with his father and revealed that he had a rifle in his home (despite being on "no firearms" status) and was concerned that the NYPD might ask him to go to a hospital to take a drug test.

11/30/09 - Dr. Lamstein spoke with IAB Group 1, Lt. Crisalli. Confirmed that IAB did recover the rifle.

12/1/09 – At the request of Lt. Mascol of the 81 Pct., Dr. Lamstein tried calling MOS with the hope that perhaps he would return the call. This was part of ongoing efforts to notify MOS to report to 1PP for reinstatement. It was unsuccessful.

1/19/10 - Dr. Lamstein received a release of information from Fulton-Montgomery VA Primary Care Practice. It requested PES send them "last office notes" and a medication list. It said MOS had an appointment scheduled with them on 1/20/10. On 1/20/01, Dr. Lamstein spoke with Louis at the VA clinic and explained that PES only saw MOS for an evaluation of his psychological fitness to perform police work and that PES were not treatment providers. He did not think they needed this type of records.

KECETVE

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Re: Po Adrian schoolcraft

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THE CITY OF NEW YORK LAW DEPARTMENT

100 CHURCH STREET NEW YORK, NEW YORK 10007

SUZANNA PUBLICKER METTHAM

Arsistant Corporation Commun. E. mail. smetthamaglaw nye gus Phone. (217) 286 Fax. (2-2) 28839774

April 24, 2014

Corporation Counsel

MICHAEL A, CARDOZO

BY HAND DELIVERY

Nathaniel Smith Attorney for Plaintiff 111 Broadway, Suite 1305 New York, New York 10006

Re: Schoolcraft v. The City of New York, et al. 10 CV 6005 (RWS)

Counsel.

Enclosed please also find the original transcript for Dr. Catherine Lamstein, Psy. D. in addition to her errata sheets and transcript signature page.

Encl.

Sincerely yours,

Suzanna Publicker Mettham

Assistant Corporation Counsel

Special Federal Litigation Division

cc

Gregory John Radomish (By Hand Delivery w/o Transcript)
MARTIN CLEARWATER & BELL LLP
Attorneys for Jamaica Hospital Medical Center
220 East 42nd Street 13th Floor
New York, NY 10017

Brian Lee (By First-Class Mail w/e Transcript) IVONE, DEVINE & JENSEN, LLP Attorneys for Dr. Isak Isakov 2001 Marcus Avenue, Suite N100 Lake Success, New York 11042

Bruce M. Brady (By Hand Delivery w o Transcript) CALLAN, KOSTER, BRADY & BRENNAN, LLP Attorneys for Lillian Aldana-Bermer 1 Whitehall Street New York, New York 10004

Walter A. Kretz, Jr. (By Hand Delivery w/o Transcript) SCOPPETTA SEIFF KRETZ & ABERCROMBIE Attorney for Defendant Mauriello 444 Madison Avenue, 30th Floor New York, NY 10022 Received

NYPO PSYCH

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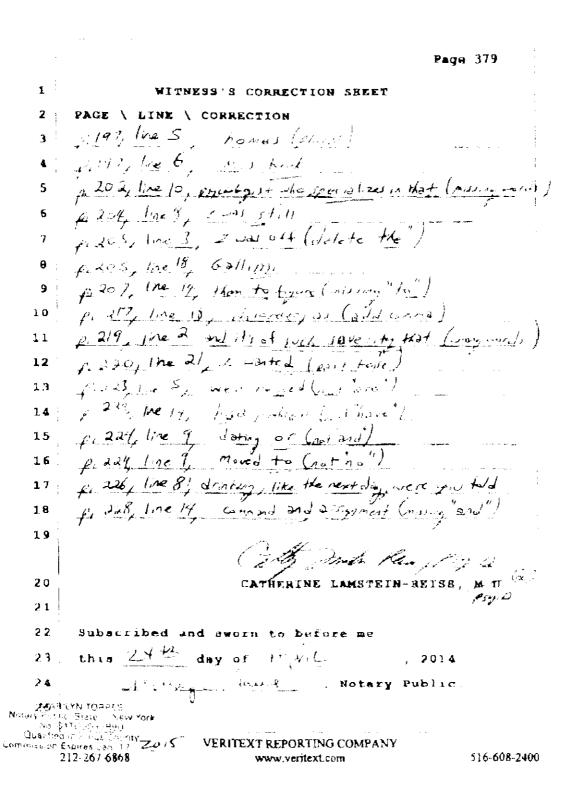
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EXHIBIT D

POLICE DEPARTMENT CITY OF NEW YORK

M # 09-1973

Log# 09-41517

SIU# 01-14B-09

From:

Sergeant Alroy Scott, Special Investigations Unit

Date/Time:

February 15, 2011 / 1600 hrs.

Allegation:

DRV-Other

Subject:

Retrieval of Police Officer Schoolcraft Department Psyche Records /

Interview of Dr. Lamstein

1. On the above date and approximate time, the undersigned Investigating Officer is documenting retrieval of a copy of Police Officer Schoolcraft Department Psychological Records on October 12, 2010 and a subsequent interview involving Dr. Lamstein on October 13, 2010. The undersigned copied Police Officer Schoolcraft Department Psychological Records for review. Police Officer Schoolcraft's folder contained information relating to his initial psychological Department hiring interview and test. Additionally the folder contained notes by Dr. Lamstein relating to her and Police Officer Schoolcraft meetings and other department documents relating to Police Officer Schoolcraft being assigned to Restricted Duty due to stress an anxiety. Dr. Lamstein was interviewed in an attempt to bring a better understanding of Police Officer Schoolcraft's folder.

At the start of the interview with Dr. Lamstein, she established that Police Officer Schoolcraft folder contained documents pertaining to his psychological history since his acceptance to the Department. She also stated that the folder contained her notes that she had made after each interaction with Police Officer Schoolcraft. Dr. Lamstein read from her notes to the undersigned for clarity. The following is a summation of some of the important points that were discussed during her and Police Officer Schoolcraft's meeting that pertained to this case.

Dr. Lamstein disclosed that her first meeting with Police Officer Schoolcraft was on April 13, 2009. Dr. Lamstein explained that Police Officer Schoolcraft was referred to her from District Surgeon, Dr. Cioffo because Police Schoolcraft was exhibiting signs of acute anxiety secondary to stress on the job.

Dr. Lamstein explained that during their first meeting, Police Officer Schoolcraft informed her that he went to Forest Hills Hospital emergency room on April 3, 2009 because he felt weak at home and a thumping in his chest. She stated that Police Officer Schoolcraft informed her that he was diagnosed with having a "panic attack" and was given an injection of lorezapm (sedative). Or. Lamstein disclosed that Police Officer Schoolcraft informed her that he had stomach problems for the past six (6) month's; which included diarrhea. He also reported to her that his primary care physician prescribed him seraqil. Dr. Lamstein continued to state that Police Officer Schoolcraft informed her that he has had trouble sleeping for about three (3) months and complained of chest pains for about a year. Police Officer Schoolcraft informed Dr. Lamstein that he felt run down.

Officer Schoolcraft about work related issues and that he disclosed to her that he was told to write more summons and further explained that he had received a 2.5 on his recent annual evaluation with a recommendation of transfer; in which he informed her that he has appealed. She stated that Police Officer Schoolcraft had informed her that he had a big meeting that involved his delegate and about 8-9 other supervisors in which they discussed his work performance. Police Officer Schoolcraft stated to her that he has not had any problems with work until this year. Dr. Lamstein stated that he

reported to her that he didn't have any financial problems; however he hasn't filed his taxes in several years. Police Officer Schoolcraft stated that his mother prepare his taxes and made his doctors appointments before her death 5 years ago from cancer. Regarding the IRS, Police Officer Schoolcraft stated that he wasn't worried about it because the IRS owed him money.

Dr. Lamstein further discussed her first meeting with Police Officer Schoolcraft. She stated that Police Officer Schoolcraft disclosed to her that he likes the job (referring to the NYPD) but hates where he is assigned. She stated that Police Officer Schoolcraft described a situation when he was assigned to RDO overtime and received the call that his mother had a stroke. He stated that he wasn't able to be excused from his assignment and how he resented the job because of it. Dr. Lamstein also informed the undersigned that Police Officer Schoolcraft stated to her that he doesn't hallucinate nor is he paranoid, but wonders if he was assigned to the 81 to get jammed up. Dr. Lamstein stated that she recommended to Police Officer Schoolcraft that he speaks to someone regarding the continuation of CBT (Cognitive Behavioral Therapy) to help him and further recommended him to read a book called "Feeling Good". She stated that she had given Police Officer Schoolcraft a list of specialist that specializes in CBT that accepted his insurance (Aetna). Dr. Lamstein disclosed that she also informed Police Officer Schoolcraft that he was going to be on restricted duty temporarily and that it wasn't for disciplinary reasons. She stated that Police Officer Schoolcraft was cooperative in his demeanor; however he indicated that he didn't want to be on restricted duty. Dr. Lamstein recommended that Police Officer Schoolcraft get CBT to improve his cooping skills and to reduce his physical symptoms of stress.

Dr. Lamstein disclosed that her next face to face with Police Officer Schoolcraft was on July 27, 2009. She stated that the discussed how and what was Police Officer Schoolcraft was doing to get himself better. Dr. Lamstein stated that Police Officer Schoolcraft informed her that "their leaving him alone and that they aren't forcing him to do overtime and that there was no pressure on giving summons". (Referring to his work conditions at the 81 Precinct) Dr. Lamstein stated that it was way too early to give clearance to anyone who has been experiencing stress and anxiety symptoms as Police Officer Schoolcraft had exhibited to her.

Dr. Lamstein stated that her next face to face interview with Police Officer Schoolcraft was on October 27, 2010 when she returned from vacation. She stated that she wanted to meet with Police Officer Schoolcraft to make sure he understood why he was on Restricted Duty. (She explained that she wanted to do this quickly because Larry Schoolcraft had called City Hall and complained to the Deputy Mayor's Assistant that she never told Police Officer Schoolcraft why he was on Restricted Duty) Dr. Lamstein stated that the information that Larry Schoolcraft reported regarding her not informing Police Officer Schoolcraft why he was on Restricted Duty was not true. Dr. Lamstein stated that she explained to Police Officer Schoolcraft during this visit in detail why he was on Restricted Duty; because he has significant physical manifestations of stress that were causing him much discomfort and encouraged him that he would benefit from treatment. Dr. Lamstein disclosed that Police Officer Schoolcraft informed her that he no longer has physical symptoms of stress even though he is still facing the same stresses as before. She stated that she recommended stress management and relaxation training so that the next time he is faced with new increased stresses, he won't have a re-continuance of the chest pains, and headaches upset stomach, etc as he first had when he came to PES.

Dr. Lamstein identified that she had pager duty on October 31, 2009. Reading from her notes, Dr. Lamstein explained that at approximately 1740 hours she received a call from Sgt. Kloos, Sick Desk Supervisor who explained to her what had transpired with Police Officer Schoolcraft earlier in the day at the 81 Precinct. Dr. Lamstein stated that she subsequently called Captain Lauterbom who reiterated the information that she had received previously. She stated that Captain Lauterbom reported to her underlying issues with MOS at the command that might have precipitated Police Officer Schoolcraft going AWOL. He informed her that MOS had made allegations against others and the Department's investigation of those allegations had picked up that week. About 4 PO's and 2 Civilians were called down for questioning that week. Notifications were in the telephone message log so MOS knew who was going. He stated that her that Police Officer Schoolcraft went up to them upon their return, tying to get information from them about what they were asked.

Dr. Lamstein disclosed that Captain Lauterborn asked her if Police Officer Schoolcraft was suicidal or depressed because he needed to know how concerned they were about his (Police Officer Schoolcraft) safety. She stated that he had informed Captain Lauterborn that she had last seen Police Officer Schoolcraft on 10/27/10 and at that time he looked okay. Dr. Lamstein stated that she informed Captain Lauterborn that at no time did Police Officer Schoolcraft express thoughts of suicide to her, but he also never went AWOL before or acted the way he has on this date. She stated that she told Captain Lauterborn that her assessment of Police Officer Schoolcraft was good based on the last time she seen him, but that she is unable to say for certainty that he was not at risk of seriously injuring himself under the present circumstances. Dr. Lamstein stated that she provided Captain Lauterborn with basic information about the reason why Police Officer Schoolcraft was on Restricted Duty-that he had displayed symptoms of stress; nausea, cardiac, etc.

Dr. Lamstein stated that after her telephone call with Captain Lauterborn, she called Police Officer Schoolcraft and informed him that the Captain Lauterborn was looking for him and for him to return to the Command so that this situation can be resolved without a need for a mobilization to search for him.

Dr. Lamstein stated that she did inform Captain Lauterborn that she did leave a message on Police Officer Schoolcraft's cell phone for him to contact someone. She stated that she suggested that Captain Lauterborn contact Police Officer Schoolcraft's father because he's close to him and most likely knows his whereabouts. Dr. Lamstein stated that she had spoke/to Captain Lauterborn a couple of more times throughout the evening and later learned that Police Officer Schoolcraft was at home sleep. Dr. Lamstein stated that she had no contact with anyone at the 81 Precinct until Police Officer Schoolcraft went AWOL.

Before the conclusion of the interview, Dr. Lamstein disclosed that she had documented several other incidents relating to Police Officer Schoolcraft; speaking to IAB investigators, 81 Precinct Supervisors, Larry Schoolcraft, Jamaica Hospital, the Department Advocates Office and staff from Fulton-Montgomery VA Primary Care Practice. (The above interactions are listed in Dr. Lamstein notes which are included as an attachment) This case will remain open.

For your INFORMATION.

Sgt. Aroy Scott Case Investigator

&∴ John Crisalli

Team Leader / Case Supervisor

Inspector David A. Grossi Commanding Officer

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